



**Canadian  
Institute  
of Actuaries**

**Institut  
canadien  
des actuaires**

# DISCIPLINE REPORT



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This is the 59th periodic report to CIA members, prepared in accordance with subsection 12b of the *Policy on the CIA Disciplinary Process*. Its primary purpose is to educate and inform members about the CIA’s disciplinary process and current disciplinary activities. Please [send me](#) any comments or suggestions for improvements to these reports.

## Meetings

Since the last discipline report of June 2024, the Professional Conduct Board (PCB) held two virtual meetings.

## Disciplinary costs (\$000) to September 30, 2024

	FY 23-24		FY 24-25	
	Actual	Budget	Actual	Budget
<b>Routine legal costs</b>	83	75	27.3	75
<b>Non-routine legal costs*</b>	154.9		49.1	
<b>Other costs*</b>	0.2		0	
<b>Total costs</b>	238.1	75	76.4	75
	<b>Actual</b>		<b>Actual</b>	
<b>Costs recovered</b>	0		0	
<b>No. of cases reviewed</b>	6		6	

Note: “Non-routine legal costs” and “Other costs” are now paid from a discipline reserve of \$750,000.

## Cases

### Charges filed and cases completed

There were no cases completed where charges were filed since the last report.

### Cases outstanding where charges have been filed

There are no outstanding cases where charges were filed since the last report.

Anyone seeking more information about the disciplinary process may obtain that information from the CIA’s [Executive Director](#).

### Other complaints and information

Since the last report, the PCB discussed four cases against five members.

One new case is being reviewed by the PCB.

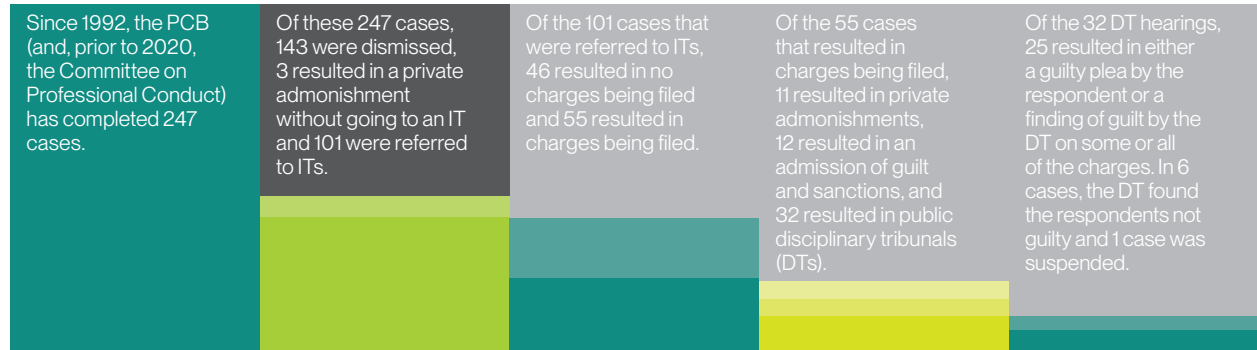
Two earlier cases are being reviewed by investigation teams (ITs) and one was dismissed after an IT review.

### Summary by practice area

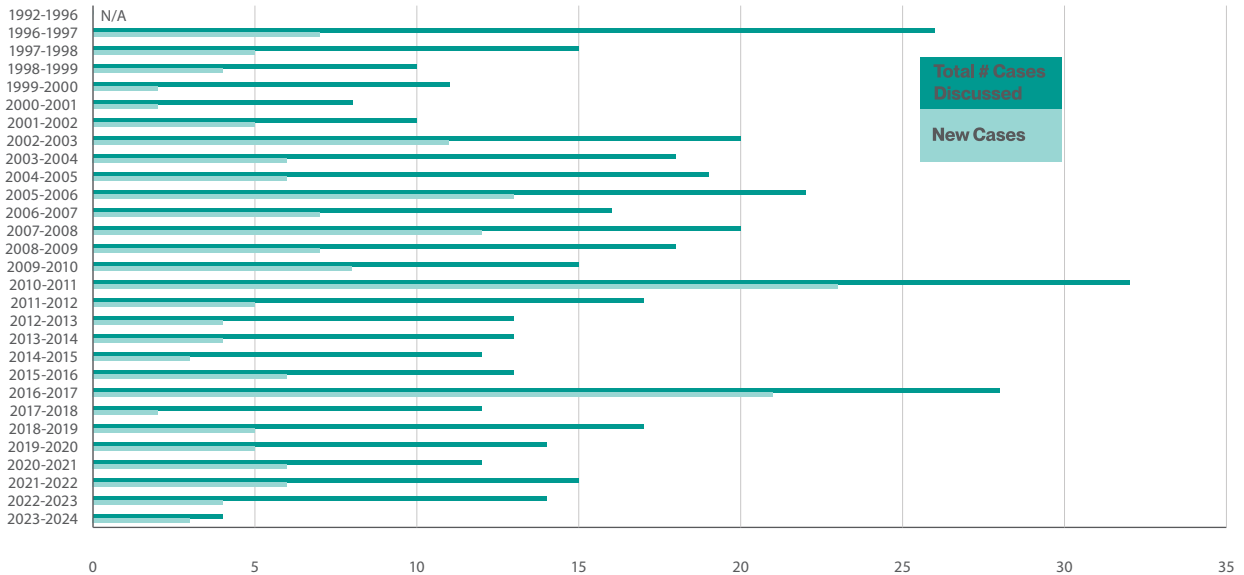
The four cases above summarized by practice area:

	Cases	Individuals
<b>Life</b>	0	0
<b>Pension</b>	2	3
<b>P&amp;C</b>	1	1
<b>Workers’ compensation</b>	0	0
<b>Actuarial evidence</b>	0	0
<b>Other</b>	1	1

## Summary of disciplinary cases since 1992



## Statistics of cases reviewed per fiscal year



**David Dickson, FCIA**  
Chair, Professional Conduct Board